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HEREIN IS UNCLASSIFIED  
DATE 12/1/94 BY 6032 RDD/GCL~~  
**KONSTANTIN NIKITOVICH DUBAS,**  
Also Known As  
**NIKOLAY NIKOLAYEVICH**

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IN THIS DOCUMENT AS SAID  
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(K 3/10/97)~~

WF T-1, a former officer of the Soviet Committee of State Security (KGB), whose bona fides has not been established, but who has furnished reliable information in the past, has furnished the following information concerning Konstantin Nikitovich Dubas to WF T-2, another Government agency which conducts intelligence investigations.

Prior to mid-1957 Dubas had worked in Department 4 of the KGB Second Chief Directorate (SCD) many years. He had served in Department 4 as a Case Officer, Senior Case Officer, Section Chief, and Deputy Department Chief. From mid-1957 to 1959 or 1960 Dubas served as Chief of Department 7, SCD. In 1960-1961 he was Chief of Department 9 and for the second part of 1961 he was Chief of the Communist Party Soviet Union Organization for the SCD. From 1962 Dubas was Chief of Department 6, SCD, and at that time held the rank of Colonel. On occasions while engaged in KGB operations, Dubas used the alias of Nikolai Nikolaevich.

WF T-1 advised WF T-2 that in 1956 Dubas discussed the Martin Malin case with Section I Chief Sergey Gusev and it was decided Section I needed a homosexual agent since Department 7 did not have one.

Dubas was the Senior Officer personally involved in 1957 in the recruitment of Japanese KGB Agent Odagawa Kengo, perhaps with Anatoliy Kozlov, for Department 7, SCD.

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GROUP 1

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In 1957 or 1958 an American named Philip Robert Nielsen made the first of several trips to the Union of Soviet Socialist Republics (USSR). On his first trip, Nielsen was suspected of being either an agent or an operational contact of Central Intelligence Agency (CIA) when KGB surveillance uncovered his contact with some "Teddy Boys," WF T-1's term for Stilyagi. Some of the "Teddy Boys" were questioned by the KGB and revealed that Nielsen was willing to pay cash for a Moscow telephone directory. WF T-1 proposed that the Stilyagi be given a telephone book to pass to Nielsen as this would interest CIA and not be any loss to Soviet Security. However, Dubas, then Chief of Department 7 and a stubborn Ukrainian refused to do this although WF T-1 told Dubas that CIA either already had the Moscow telephone directory or could easily acquire one. However, Dubas would not agree.

In 1957 the Personnel Department of KGB proposed that Michael Sumskey be transferred from the First Chief Directorate (FCD) to be Chief of Section 7 (6) of Department 7, SCD. Dubas accepted the proposal.

In 1957 WF T-1 was ordered by Dubas to escort Sir Alan Lane and Arthur Birse, with the Penguin Books Publishing Company, London, England. This was arranged by the Ministry of Culture in the Foreign Department.

WF T-1 further informed WF T-2 that Nikolay Arhipov, who worked in the Tourist Section against Scandinavian tourists, was the case officer for Egil Sundar, a Norwegian correspondent. After Sundar was arrested by the militia for black market activity, he was recruited by Dubas, Chief of Department 7, with another Department 7 officer. Dubas had observed the hotel party of WF T-1, Klara Gorbunova, Sundar, and Aleksandra Last Name Unknown (LNU). Duba supervised the compromising photographs of Sundar and Aleksandra.

WF T-1 identified a photograph of Virgil Kraft who was in Russia as a United States tourist in 1956, 1958, 1962 or 1963. Kraft was approached in 1958 by Dubas and again by

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Dubas in 1962 or 1963 at which time Dubas was accompanied by another officer from his Department. In 1958 Dubas was Chief of Department 7 and in 1962 or 1963 when [Kraft] returned to Russia, Dubas was Chief of Department 6. WF T-1 explained that Dubas was invited to approach [Kraft] in 1962 or 1963 because he had talked to [Kraft] previously when with Department 7. Informant believed someone from Department 6 accompanied Dubas when he approached [Kraft] in 1962 or 1963 but in 1958 Dubas, who spoke no English, talked to [Kraft] alone, probably through Yuriy Belikov, an interpreter, at the Grand Hotel. [X]  
[X]  
[X]  
[X]  
[X]

About 1958 Dubas made a trip with four Soviet Aeroflot representatives to several countries as a guest of Scandanavian Airlines. Informant did not know whether Dubas travelled on this occasion under his true name. Informant explained that Dubas was invited by Department 7 to act for it when he was Chief of Department 6 because of his previous experience.

WF T-1 furnished the following comments concerning the case of [Bernard Pechter] which occurred in Russia in 1959:

Informant stated Vladimir Ivanov from the 7th Department, SCD, worked against [Pechter.] Informant stated that possibly Dubas worked with Ivanov in the KGB recruitment approach to [Pechter.] It was noted that Dubas did not speak English but Ivanov did. [X]  
[X]

WF T-1 was furnished the descriptions of three individuals previously described by [Pechter] as Soviets involved in the recruitment approach to him, one being a homosexual, another the KGB case officer who spoke no English, and another KGB "translator" who assisted the case officer. WF T-1 advised that the description of the case officer possibly fit Dubas and the description of the translator could possibly refer to Vladimir Ivanov. [X]

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~~(S)~~  
[Bernard Pechter] had advised Special Agents of the Federal Bureau of Investigation (FBI) in 1969 that he had been compromised through homosexual activities by the Soviet Intelligence Service during a visit to the USSR in 1959 and that he had then agreed to cooperate with the Soviets. During an FBI interview [Pechter] identified a photograph of Konstantin Nikitovich Dubas as "The Chief" or case officer. He advised the photograph of Ivanov appeared familiar to him and believed he resembled the "KGB translator." ~~(S)~~

WF T-2 furnished WF T-1 with a copy of the 1960 Moscow telephone directory (English) for review to determine if he could identify any people listed therein as KCP personnel or other individuals of interest to the United States. The informant identified one Adamov of the Radio Committee as a KGB officer of Department 7 who was worked by Dubas.

*Peter*  
WF T-1 advised that William Taraska, a policeman from New York City, visited Russia in 1958 or 1959. Taraska had relatives in the Ukraine and Senior Case Officer Michael Vinogradov worked against him. Taraska asked Intourist in Moscow about the possibility of visiting his relatives who lived somewhere in the Ukraine. The SCD of the Ukraine made contact with Taraska because of his request for permission to visit his relatives who resided in a closed district in the Ukraine. They "conditioned" a meeting in Moscow, where Dubas, Chief of Department 7 met with him. WF T-1 advised that Dubas met with Taraska once or twice in Moscow and Taraska was considered recruited by the KGB.

WF T-1 further advised WF T-2 that Dubas met Taraska in Moscow but informant did not know if Dubas was alone or accompanied or if this was the only contact Dubas had with Taraska. Informant speculated that Dubas took the Taraska case perhaps because Dubas was called directly from Kiev, when the KGB was in first contact with Taraska, or to show activity as Department Chief, or because he thought case looked easy, or because he was Ukrainian and could speak

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The informant related that Dubas carried compromising photographs (homosexual activities) of Cerard Maximilian Mertens, a tourist in Moscow in July or August 1959 when reporting to Oleg M. Griбанov; therefore, WF T-1 did not have these photographs available to use when he talked to Mertens at Uzghorod. (S)

At the end of 1959 WF T-1 was promised the Order of Red Banner, but instead with three others from Department 7 received only awards and official thanks. The three other KGB individuals were Mikhail Leonov, Vladimir Chelnokov, and Konstantin Nikitovich Dubas.

The informant advised that Dubas, as Chief of Department 7, kept compromising photographs of Johanna Ross in his safe. Ross was an American tourist who was in Russia in the late 1950's. The KGB Department 7 worked against her and obtained compromising photographs of her with KGB officer "Genrikh." (code name) (S)

Informant advised that in October 1963 Dubas was a Colonel in the KGB and was among the officers searching for Aleksandr Nikolayevich Cherepanov, a retired KGB officer, near the city of Baku, Azerbaijan Republic where he arrested Cherepanov who had passed KGB papers to an American tourist who was visiting International Book where Cherepanov was working.

When interrogated by WF T-2 regarding the Eighth Directorate which handled codes and ciphers, informant advised that in the Secretariat of the SCD there was a group of three or four officers who prepared special reports for the Central Committee. Nikolai Zenskov was one of the officers in this group. Before 1954 Zenskov worked in the SCD. In 1954 he moved to Department 1, SCD and then was taken into the above group where he was still working in January 1964. Informant related that some materials intercepted by the above group

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